

APPENDIX 1

FIT AND PROPER PERSON QUESTIONNAIRE

(This form should be completed by each natural person and beneficial owner linked to the prospective DNFBP)

1. BIO DATA

Name:

(First Name)

(Middle Name)

(Last/Surname)

Date of Birth:

Place of Birth:

Nationality:

Address of Residence:

Country of Residence:

2. IDENTIFICATION DOCUMENTS

(International Passport/National Identity/Drivers Licence/Voters Card)

Means of Identification:

Issuing Authority:

Identity Number:

Date of Issuance:

Expiry Date:

3. OWNERSHIP DETAILS

Name of Company Owned

Effective Date of Ownership:

Percentage of Ownership:

State the nature of control:

Direct/Indirect:

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4. SOURCES OF WEALTH AND FUND

Kindly provide details of source of wealth and fund for the investment in proposed DNFBP:

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5. PEP STATUS

1. Have you held (or holds) any appointment with any agency of government, tiers of government or international organization?

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2. If yes, state the type of appointment, period and position held.

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Declaration:

I,, hereby declare that all information provided on this form is true and factual.

I undertake to promptly [or within a reasonable period and in any event not less than two weeks] inform my Licensing Authority or Self Regulatory Body in writing about any changes in the information provided above.

I understand that it is a criminal offense to provide false information on this form (BOFIA 2020)

Signature:

Date:

The beneficial owner undertakes to promptly [or within a reasonable period and in any event not less than two weeks] inform my Licensing Authority or Self Regulatory Body in writing about any changes in the information provided above.

It is a criminal offense to provide false information on this form [insert applicable criminal law and penalty in bold type].

Signature:

Name:

Date:

Title:

*Beneficial Owner includes [the natural person(s) who ultimately owns or controls a customer and/or the person on whose behalf the transaction is being conducted. It also incorporates those persons who exercise ultimate effective control over a legal person or arrangement and relevant third parties.]